

PTO/SB/21 (08-03)

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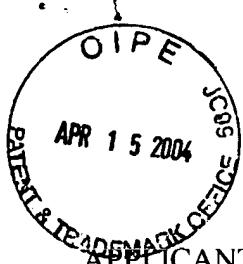
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/727,919
	Filing Date	December 4, 2003
	First Named Inventor	Marvin M. Johnson
	Art Unit	1754
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	1128-01201

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"><li>• Form PTO-1449 (with copies of cited references)</li><li>• Copy of electronic Information Disclosure Statement (eIDS) filed on April 12, 2004</li><li>• Postcard</li></ul>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Or Individual Name	Timothy S. Westby, Reg. No. 52,352		
Signature			
Date	April 12, 2004		
CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or Printed Name	Frances Hampton		
Signature		Date	April 12, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Marvin M. Johnson § GROUP ART UNIT: 1754  
§  
SERIAL NO.: 10/727,919 §  
§  
FILED: December 4, 2003 §  
§  
FOR: Catalyst Formulation for §  
Hydrogenation §

**INFORMATION DISCLOSURE STATEMENT**  
**IN CONJUNCTION WITH AN (eIDS)**

Atty. Dkt. No.: 1128-01201  
Date: April 12, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

**Electronic Information Disclosure Statement**

As a part of this submission, an electronic Information Disclosure Statement (eIDS) is being transmitted on this date, and includes a listing of U.S. Patent and Published Applications of which Applicants are aware. A printed copy of that submission is attached hereto.

04/16/2004 HGUTEMAI 00000011 032769 10727919

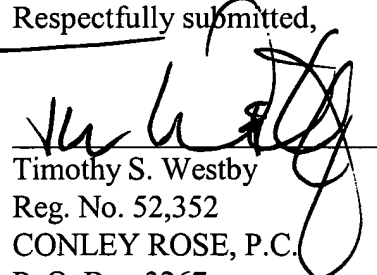
01 FC:1806 180.00 DA

123593.01/1128.01201

In accordance with 37 C.F.R. 1.98(e), no paper copies of those U.S. Patent and Published Applications are enclosed.

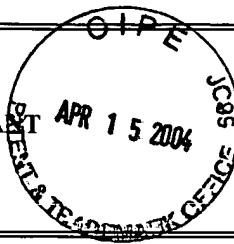
No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,



\_\_\_\_\_  
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ATTORNEY FOR APPLICANTS

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use several sheets if necessary)Atty. Docket No.  
1128-01201Serial No.  
10/727,919Applicant  
Marvin M. JohnsonFiling Date  
December 4, 2003Group  
1754**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
✓	B1	FR2525210	1983-10-21	FRANCE (abstract in English)				X
✓	B2	FR2091114	1972-01-14	FRANCE (abstract in English)				X
✓	B3	EP0564328	1993-10-06	EUROPE (abstract in English)				X
✓	B4	EP0564329	1993-10-06	EUROPE (abstract in English)				X

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	C	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

APR 15 2004

**UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT**

 Electronic Version v1.1.1  
 Stylesheet Version v1.1.1
**Title of Invention**

CATALYST FORMULATION FOR HYDROGENATION

Submission Type: Information Disclosure Statement

Application Number: 10/727919

\*10/727919\*

EFS ID: 58840

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	7550
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: Marvin Johnson

Attorney Docket Number: 1128-01201

Timestamp: 2004-04-12 15:56:02 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)
us-ids	1128.01201.IDS-usidst.xml	6943
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package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
Total files size		75387

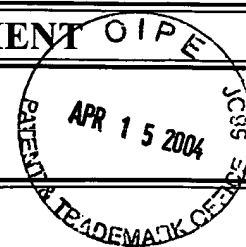
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Digital Certificate Holder Name: cn=Timothy S. Westby,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US

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**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18  
 Stylesheet Version v18.0

**Title of Invention****CATALYST FORMULATION FOR HYDROGENATION**

Application Number: 10/727919

\*10/727919\*

Confirmation Number: 7550

First Named Applicant: Marvin Johnson

Attorney Docket Number: 1128-01201

Art Unit: 1754

Search string: ( 6578378 or 6509292 or 6395952 or 6365790 or 6350717 or 6281160 or 6255548 or 6127310 or 6015933 or 5866734 or 5856262 or 5847250 or 5696293 or 5589600 or 5587348 or 5504268 or 5414170 or 5356851 or 5227553 or 5059732 or 4906800 or 6465391 or 4547600 or 4517395 or 4484015 or 4469907 or 4404124 or 4337329 or 4323482 or 4277313 or 4137267 or 4128595 or 3842137 or 3541178 or 3342891 or 4705906 ).pn.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6578378	2003-06-17	Kaiser et al.			
	2	6509292	2003-01-21	Blankenship et al.			
	3	6395952	2002-05-28	Barchas			
	4	6365790	2002-04-02	Reimer et al.			
	5	6350717	2002-02-26	Frenzel et al.			
	6	6281160	2001-08-28	Basset et al.			
	7	6255548	2001-07-03	Didillon et al.			
	8	6127310	2000-10-03	Brown et al.			
	9	6015933	2000-01-18	Abrevaya et al.			
	10	5866734	1999-02-02	Flick et al.			
	11	5856262	1999-01-05	Flick et al.			
	12	5847250	1998-12-08	Flick et al.			
	13	5696293	1997-12-09	Phillips et al.			
	14	5589600	1996-12-31	Fischer et al.			
	15	5587348	1996-12-24	Brown et al.			
	16	5504268	1996-04-02	van der Aalst et al.			
	17	5414170	1995-05-09	McCue et al.			
	18	5356851	1994-10-18	Sarrazin et al.			
	19	5227553	1993-07-13	Polanek et al.			
	20	5059732	1991-10-22	Cosyns et al.			
	21	4906800	1990-03-06	Henry et al.			

	22	6465391	2002-10-15	Cheung et al.
	23	4547600	1985-10-15	Cosyns et al.
	24	4517395	1985-05-14	Obenaus et al.
	25	4484015	1984-11-20	Johnson et al.
	26	4469907	1984-09-04	Araki et al.
	27	4404124	1983-09-13	Johnson et al.
	28	4337329	1982-06-29	Kubo et al.
	29	4323482	1982-04-06	Stiles et al.
	30	4277313	1981-07-07	Mehra et al.
	31	4137267	1979-01-30	Reid et al.
	32	4128595	1978-12-05	Montgomery
	33	3842137	1974-10-15	Libers et al.
	34	3541178	1970-11-17	Nettesheim
	35	3342891	1967-09-19	Poons et al.
	36	4705906	1987-11-10	Brophy et al.

**Remarks**

**Note:** Remarks are not for responding to an office action.

As a part of this submission, a Information Disclosure Statement is being transmitted on this date, and includes a listing of Non-U.S. Patent and Published Applications and other publications of which Applicants are aware.

**Signature**

Examiner Name	Date